# FOOD LAW IN VIETNAM

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# **TABLE OF CONTENTS**

INTRODUCTION	1
WHAT IS FOOD?	2
FOOD SAFETY	3
LABELING	6
ADVERTISING	10
RECALL	13
INSPECTIONS	
IMPORT REQUIREMENTS	16
EXPORT REQUIREMENTS	19
AQUATIC PRODUCTS	20
ENFORCEMENT	24
CONCLUSION	28
SCHEDULE	29

#### **GLOSSARY**

In this book, we define and abbreviate terms the first time that we use them. To make it easier to follow the text, we have prepared this Glossary.

CFS Certificate of Free Sale

DOC Department of Construction

DOH Department of Health

DOIC Department of Information and Communications

FBO Food Business Operator

FHSA Food Hygiene and Safety Administration

GDSMQ General Department of Standards, Measurements

and Quality

GMP Good Manufacturing Practices

HACCP Hazard Analysis, Critical Control Point

ISMU International System of Measuring Units

ISO International Standardization Organization

LoFS Law on Food Safety

LoQPG Law on Quality of Products and Goods

LoSTS Law on Standards and Technical Specifications

MARD Ministry of Agriculture and Rural Development

MCST Ministry of Culture, Sports, and Tourism

MOC Ministry of Construction

MOCI Ministry of Culture and Information

MOH Ministry of Health

MOIC Ministry of Information and Communications

MOIT Ministry of Industry and Trade

MONRE Ministry of Natural Resources and Environment

MOST Ministry of Science and Technology

TCCS Voluntary Standards

TCVN National Standards

# **FOOD LAW IN VIETNAM\***

#### INTRODUCTION

Understanding Vietnamese laws and regulations concerning food can be a challenge. The confusion results from the lack of a unified food law. While the government relies on general laws to regulate the quality of goods, including food, there are also specific regulations that apply to food products. This means that there are two sets of rules--one covering goods in general and the other covering food products--and they often overlap.

The major laws on the subject are the Law on the Quality of Products and Goods<sup>1</sup> ("**LoQPG**") and the Law on Food Safety<sup>2</sup> ("**LoFS**"). The former states that "[t]he State shall implement a uniform system to control the quality of goods." However, "in cases where international treaties, to which the Socialist Republic of Vietnam is a party, contain provisions which differ from the Law, the international treaties shall prevail."

The LoQPG came into effect on 1 July 2008 and replaced the Ordinance on the Quality of Goods. It provides that manufacturers and traders must take responsibility for the quality of the goods or products that they manufacture or trade, in order to ensure safety for humans, animals, plants, property, and the environment, and to enhance the productivity, quality, and competitiveness of Vietnamese goods and products. It further defines 'goods' and 'products', which essentially cover food.

The LoFS, with effect from 1 July 2011, replaced the Ordinance on the Hygiene and Safety of Foods. Mainly, it describes the rights and obligations of organizations and individuals with respect to food safety; conditions to ensure the safety of food for manufacturing, doing business in food, and importing or exporting food; advertising and labeling food; testing food; analyzing threats to food safety; and protecting, preventing, and overcoming breakdowns in food safety.<sup>6</sup>

The LoQPG gives the Ministry of Science and Technology ("MOST") overall responsibility for the quality of goods, including foods, while the LoFS assigns responsibility for the safety of food to the Ministry of Health ("MOH"), Ministry of Agriculture and Rural Development ("MARD"), and the Ministry of Industry and Trade ("MOIT").

<sup>\*</sup> This book has been written and updated by lawyers from Russin & Vecchi. It is current though March 2019

<sup>&</sup>lt;sup>1</sup> Law No. 05/2007/QH12 adopted by the National Assembly on 21 November, 2007 on the quality of products and goods

<sup>&</sup>lt;sup>2</sup> Law No. 55/2010/QH12 adopted by the National Assembly on 17 June, 2010 on food safety

<sup>&</sup>lt;sup>3</sup> LoQPG, art 68.1

<sup>&</sup>lt;sup>4</sup> *Id*, art 4.3.

<sup>&</sup>lt;sup>5</sup> *Id*, art 5.2.

<sup>&</sup>lt;sup>6</sup> LoFS, art 1.

#### WHAT IS FOOD?

The LoFS defines "foods" as follows: "Foods are products which human beings eat and drink in their fresh, raw, processed or preserved forms. Foods do not include cosmetics, tobacco, and medicinal products." There are sub-definitions of different types of food, including fresh food, nutritional food, functional food, genetically modified food, irradiated food, street food, and packaged food.

Those sub-definitions are as follows:

"Fresh food" is unprocessed food including meat, eggs, fish, aquatic products, vegetables, fresh fruits, and other unprocessed foods.<sup>8</sup>

"Nutritional food" is food supplemented with vitamins, mineral substances, and serum antioxidant micro-minerals in order to prevent the community or a particular group in the community from a shortage of vitamins, substances, and microminerals.<sup>9</sup>

"Functional food" is food used to support the operation of body organs, creates comfort for the body, increases resistance, and reduces pathogenic dangers. It consists of food supplements, health protective food, and medically nutritious food. <sup>10</sup> Detailed regulations on functional foods are provided in the MOH's Circular 43/2014/TT-BYT dated 24November 2014.

"Genetically modified food" is food which consists of one or more materials which have been genetically modified.<sup>11</sup>

"Irradiated food" is food radiated by a form of radioactivity to preserve and prevent food from degenerating. Detailed regulations on irradiated foods are provided in the MOH's Decision 3616/2004/QD-BYT dated 14 October 2004.

"Street food" is a ready-to-eat food or beverage. It is obtained from a vendor, sold on street sides, in public, or in similar places. 13

"Packaged food" is completely packaged and labeled food, which may be eaten or processed further. 14

<sup>&</sup>lt;sup>7</sup> LoFS, art 2.20.

<sup>&</sup>lt;sup>8</sup> *Id*, art 2.21.

<sup>&</sup>lt;sup>9</sup> *Id*, art 2.22.

<sup>&</sup>lt;sup>10</sup> *Id*, art 2.23.

<sup>&</sup>lt;sup>11</sup> *Id*, art 2.24.

<sup>&</sup>lt;sup>12</sup> *Id*, art 2.25.

<sup>&</sup>lt;sup>13</sup> *Id*, art 2.26.

<sup>&</sup>lt;sup>14</sup> *Id*, art 2.27.

#### FOOD SAFETY

All individuals and entities that want to produce and to trade foods must assure that their foods are safe. In other words, the foods must conform to the relevant technical specifications and meet limit requirements for pathogenic microorganisms, residues of plant protection drugs or veterinary drugs, heavy metals, contaminants, and other substances in food that may cause harm to human life and health. In addition, subject to the type of food, food must comply with one or more of the regulations on (i) use of food additives and processing aids in food production and trading; (ii) food packaging and labeling; and (iii) food preservation.<sup>15</sup>

The quality of goods and products is managed on the basis of applicable announcement standards and technical specifications. <sup>16</sup> The system of Vietnamese standards includes national standards ("**TCVN**") and standards applied voluntarily by businesses and individuals ("**TCCS**"). <sup>17</sup> Vietnamese standards are based on international, regional, and foreign standards. Also included are the outcomes of scientific and technological research projects, technical progress, factual experience, and the results of evaluations, tests, experiments, inspections, and appraisals. <sup>18</sup>

At one time, all goods were subject to quality "registration". That is, the State required individuals and organizations that produced and traded goods to follow certain quality standards. Foods were no exception. Foods had to be registered with the MOH. The registration included information such as: a list of standards with which the food products must comply; a chart showing the stages of food product processing from storage of raw materials through the processing stage and ultimately to storage and delivery of the finished product; a final or draft version of the label; instructions and warranties; test results for the food product issued by an authorized government test centre; and a list of all raw materials used to process a food product, including additives and packing materials. The MOH had the power to reject an application for registration.

However, under the LoQPG, individuals and organizations that produce and trade in goods, including foods, are required only to "announce", rather than "register", their own quality standards. Under the LoFS, producers and traders must decide and announce the standards of their foods. That is, they are able, themselves, to decide the standard of their goods. Of course, such standards must satisfy applicable Vietnamese standards or industry standards. Accordingly, the prior authoritarian role of the State regarding the quality standards of goods, including food, has been changed into voluntary commitments by entities to set and to comply with their own standards.

Producers and traders of packaged food, additives, food process supporting substances, packing materials, and food containers ("Products" for short) are

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<sup>&</sup>lt;sup>15</sup> LoFS, art 10.

<sup>&</sup>lt;sup>16</sup> LoQPG, art 5.1.

<sup>&</sup>lt;sup>17</sup> Law on Standards and Technical Specifications 68/2006/QH11 dated 29 June 2006 ("**LoSTS**"), art 10.

<sup>&</sup>lt;sup>18</sup> *Id*, art 13.

required to announce that their Products conform to relevant technical specifications.. Under Decree 38<sup>19</sup>, the announcement must be registered with a competent authority, i.e. the MOH or the DOH before production and trade of the Products can be initiated. While this may seem like a reasonable approach in terms of food safety, this regulation usually hindered producers and traders from getting their Products into the market as it could take up to four weeks before their announcement was approved.

With the introduction of Decree 15<sup>20</sup> which has replaced Decree 38, procedures to announce Products have been greatly streamlined. Producers and traders of Products<sup>21</sup> are now only required to self-announce their Products on public media and with the appropriate authority under the local People's Committee. Self-announcement of Products in Decree 15 is different from the announcement of the Products under Decree 38. Whereas Decree 38 required approval from the authorities, Decree 15 does not. After Products are self-announced, they can be immediately produced and/or circulated into the market. Some products, however, do require an additional registration step<sup>22</sup>.. Decree 15 has significantly decreased the gap between announcement and production/trade of a Product while it still holds producers and traders responsible for dealing in Products that conform with technical specifications and food safety regulations.

#### **Self-Announcement of Products**

The dossier to self-announce Products includes one self-announcement using Form 01 provided in Annex I of Decree 15 and a valid food safety evaluation report ("**Evaluation Report**"). The report must be no more than 12 months old and have been issued by an ISO 17025 accredited laboratory. The report must test either (i) the criteria provided by the MOH in accordance with internationally compatible risk management principles or (ii) safety criteria in accordance with equivalent standards, specifications provided by an organization or individual, in case there are no regulations from the MOH.

The dossier must be published on public media or on the website of the producer or trader, which website announces the product. It must then be submitted to the authority designated by the provincial People's Committee. The name of the traders, producers and their products must be published on the website of the receiving authority.

<sup>&</sup>lt;sup>19</sup> The Government's Decree 38/2012/ND-CP dated 25 April 2012, providing detailed regulations implementing some articles of the LoFS ("**Decree 38**")

<sup>&</sup>lt;sup>20</sup> The Government's Decree 15/2018/ND-CP dated 2 February 2018, providing detailed regulations implementing some articles of the LoFS ("**Decree 15**"), art 4.

<sup>&</sup>lt;sup>21</sup> Except for products, ingredients, which are produced or imported especially for the production, processing of for goods export or for internal production by an organization or individuals without entering the market

<sup>&</sup>lt;sup>22</sup> See "Registration of the Self-announcement of Products" on page 5.

#### **Registration of Self-announcement of Products**

Registration of self-announcement of products is required for products in one of the following categories:

- (i) health protective foods $^{23}$ ;
- (ii) medical nutrition foods<sup>24</sup>, foods for special diet<sup>25</sup>;
- (iii) nutritious products for under-36-month children; and
- (iv) mixed food additives<sup>26</sup> with new functions, and food additives, which are not on a list of s allowed food additives<sup>27</sup> or are not regulated by the MOH.

Producers and traders of products in categories (i) and (iv) must register their self-announcement with the MOH. Producers and traders of products in categories (ii) and (iii) must register their self-announcement with the authority designated by the provincial People's Committee. A complete dossier to self-announce a product includes the following documents:

- (i) Announcement of products following Form 02 Annex I of Decree 15;
- (ii) Certificate of Free Sale or Certificate of Exportation for the free sale of products in the importing country; if the products are imported or if Health Certification issued by a competent authority in the exporting country guarantees the safety of the user of the products;
- (iii) An Evaluation Report;
- (iv) Published scientific evidence proving the effects or the ingredients of the products;
- (v) Certificate of satisfaction of food safety conditions as required by Good Manufacturing Practices ("GMP"). An equivalent certificate may be substituted if the product is health protective food. This requirement takes effect on 1 July, 2019; and
- (vi) Certificate of satisfaction of food safety conditions, if the product is manufactured domestically.

After the dossier is submitted to the authorities, within 21 days for health protective foods and 7 days for certain mixed food additives, the authorities will examine the dossier and issue the appropriate receipt.

<sup>&</sup>lt;sup>23</sup> Article 3.1 of Decree 15 defines "health protective food" as a supplement to the daily diet to improve user's health and immunity.

<sup>&</sup>lt;sup>24</sup> Article 3.2 of Decree 15 defines "medical nutrition food" as a food item which can be consumed orally or by tube feeding, prescribed to regulate the patient's diet, the use of which has to be supervised by a medical personnel.

<sup>&</sup>lt;sup>25</sup> Article 3.3. of Decree 15 defines "food for special diet" as food for people on a diet, elderly people and other users defined by the Codex Alimentarius, processed or formulated to satisfy particular dietary requirements which exist because of a particular physical or physiological condition and/or specific disease and disorder of the user, as defined.

<sup>&</sup>lt;sup>26</sup> The LoFS defines a "food additive" as a substance with or without nutritious value, which is intentionally added to food in the process of production in order to retain or improve particular characteristics of food

 $<sup>^{27}</sup>$  The list of allowed food additives is provided in the MOH's Circular 40/2016/TT-BYT dated 4 November 2016.

#### **LABELING**

Decree 43/2017/ND-CP of the Government dated 14 April 2017 on the Labeling of Goods ("Decree 43") made some significant changes to the previous legislation, Decree 89/2006/ND-CP of the Government dated 30 August 2006 on the Labeling of Goods ("Decree 89"). Decree 43 applies to goods circulated in Vietnam, including imported and domestically manufactured products. <sup>28</sup> Significant changes involving Products have been made. For example, requirements for auxiliary labels for products, ingredients, which are produced or imported especially for the production, processing of export goods have been dropped.

Labels are defined as "inscriptions, prints, drawings, images, or signs that are imprinted or embossed directly on or affixed, stuck, or pinned firmly to goods or their packaging to display necessary and principal information about such goods."<sup>29</sup> In addition, goods labeling is defined as the "inscription of necessary and principal information about the goods in order for consumers to identify goods, and have a basis to select, consume and use goods. Producers and traders may use the labels to provide information about and to advertise their goods. Labels also permit functional bodies to conduct inspection and supervision."<sup>30</sup>

Decree 43 requires domestically circulated foods, including imported foods, to bear labels, except for unpacked fresh and raw foodstuffs and processed foodstuffs that are sold directly to consumers. Labels may include both compulsory and non-compulsory information. The compulsory contents are mandatory and contain the most important information. Regulations on compulsory contents for all domestically circulated goods labels, whether manufactured in Vietnam or imported to Vietnam, are provided in Decree 43. To date, implementing regulations have not yet been issued. However, detailed and specific requirements on the compulsory content of some particular goods labels are provided in the law. See the attached Schedule.

The general compulsory contents of a label for all goods include:

- Name of the goods: manufacturers or traders of goods may choose a name for their goods, provided that the name does not mislead consumers as to the nature and use of the goods. Manufacturers or traders are liable for the name they choose. The name of the products or goods must be legibly and prominently recorded, and must be larger than the other compulsory contents on the label.<sup>32</sup>
- Names and addresses of the entities which produced, imported, assembled, or finished the goods;<sup>33</sup>
- Country of origin (applicable to both imported and domestically produced goods);<sup>34</sup>

<sup>&</sup>lt;sup>28</sup> Decree 43, art 1.

<sup>&</sup>lt;sup>29</sup> *Id*, art 3.1.

<sup>&</sup>lt;sup>30</sup> *Id*, art 3.2.

<sup>31</sup> Id, art 1.2 (dd).

<sup>&</sup>lt;sup>32</sup> *Id*, art 11.

<sup>&</sup>lt;sup>33</sup> *Id*, art 12.

Labels of foods are required to have the following additional contents::

- Quantity<sup>35</sup> measured in units recognized by Vietnamese law. These units are described in Schedule II of the Decree;
- List of ingredients in descending order of weight.<sup>36</sup> If an ingredient contains preservatives, which must be used in a prescribed dosage, which may cause allergens, or which may be harmful to humans, animals, or the environment, the names of the preservatives must be included together with the names of such ingredients.<sup>37</sup>
- Specifications and warnings: If foodstuffs are labeled with nutritional value, the labels must indicate the range of nutritional value according to relevant regulations and applied standards. An average value may be used instead.<sup>38</sup>
- Production date, expiration date, and shelf-life of goods;<sup>39</sup> and
- Storage and usage instructions.<sup>40</sup>

Decree 43 requires that all letters, numerals, drawings, images, signs, and/or marks that appear on the label must be clear and must accurately reflect the properties of the goods. They must not be ambiguous or cause confusion with other products. Labels that are not clearly visible may be seen as an administrative violation.

The color of the text of compulsory contents must contrast with the background color of the label. 41 Goods distributed in Vietnam must be labeled in the Vietnamese language, except for contents that are presented in their Latin origin. 42 However, depending on the requirements of each type of goods, a foreign language may be added in equal or smaller print. 43 The Vietnamese language must appear on imported goods either on the original label or on a secondary label. A Vietnamese language label must be attached to the goods before they are put on sale or distributed. 44

The individual or organization responsible for labeling the goods shall determine the size of the label and the size of the font. They must be large enough for all compulsory contents to be clearly visible. Prepackaged food, food additives, or food processing aids must have all the compulsory

<sup>&</sup>lt;sup>34</sup> *Id*, art 15.

<sup>&</sup>lt;sup>35</sup> Decree 43, Schedule I, items 1-11, excluding item 8.

<sup>&</sup>lt;sup>36</sup> *Id,* art 16.3 (a).

<sup>&</sup>lt;sup>37</sup> *Id*, art 17.6.

<sup>38</sup> *Id*, art 17.5.

<sup>&</sup>lt;sup>39</sup> *Id*, art 14 and Schedule III.

<sup>&</sup>lt;sup>40</sup> *Id*, Schedule I, item 2.e, 3.dd, 6.e, 7.d, 9.dd, 10.d, 11.dd.

<sup>&</sup>lt;sup>41</sup> Decree 43, art 6.

<sup>&</sup>lt;sup>42</sup> *Id*, art 7.

<sup>&</sup>lt;sup>43</sup> *Id*, art 7.2.

<sup>&</sup>lt;sup>44</sup> Decree 43, art 7.3.

information in 1.2 mm or larger font. If the area for labeling the product is less than 80cm<sup>2</sup>, the height of words must not be less than 0.9mm.<sup>45</sup>

According to Decree 43 and Decree 15, in addition to the compulsory contents listed above, specific foods must have particular information on their labels:

- Health protective food: Labels must indicate risk warnings (if any), the phrase "health protective food" and the disclaimer "The foodstuff is not medicine and cannot replace medicine."
- Irradiated food: Warnings and the phrase "Irradiated food" must appear. 47
- Genetically modified food: Labeling "Genetically modified food" or "genetically modified" beside the name of the genetically modified ingredients, enclosed with their contents.<sup>48</sup>
- Liquor: Labels must indicate alcohol content (ethanol) and lot number (if any).<sup>49</sup>
- Food additives: Labels must specify their categories, names and International Numbering System (INS) Code (if there is one); additives which are flavorings, sweeteners or colorings must be additionally clarified to indicate whether they are "natural", "nature-identical", "synthetic", or "artificial". The phrase "Food additive" and appropriate warnings must also appear. 51
- Micronutrients: labels must indicate "Used for food". 52
- Medical nutrition foods: labels must indicate "Medical nutrition food" and "Used for patients with supervision of medical personnel".<sup>53</sup>
- Food for special diet: labels must indicate "Nutritious product (for specific subjects)". 54

Non-compulsory contents may appear on food labels, but they must not be contrary to the compulsory labeling requirements referred to above. Non-compulsory contents may be: number and barcodes granted by national number and barcode organizations; protected trademarks; medals; certificates of the product's international quality standards; serial number of the production batch;

<sup>46</sup> *Id,* Schedule I, item 3.

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<sup>&</sup>lt;sup>45</sup> *Id*, art 5.

<sup>&</sup>lt;sup>47</sup> *Id,* Schedule I, item 4.

<sup>&</sup>lt;sup>48</sup> *Id,* Schedule I, item 5.

<sup>&</sup>lt;sup>49</sup> *Id,* Schedule I, item 7.

<sup>&</sup>lt;sup>50</sup> *Id*, art 16.3 (a).

<sup>&</sup>lt;sup>51</sup> *Id,* Schedule I, item 9.

<sup>52</sup> *Id.* Schedule I. item 10.

<sup>53</sup> Decree 15, art. 24

<sup>54</sup> *Id* 

international markings used for transport, use, and storage; foreign language information; and information that promotes the product.

Changes made to a registered food label or to the expiration date without the approval of the competent authority are strictly prohibited.

#### **ADVERTISING**

The Law on Advertisement No. 16/2012/QH13 of the National Assembly dated 21 June 2012 ("Law on Advertisement"), Decree 181/2013/ND-CP of the Government dated 14 November 2013 ("Decree 181") <sup>55</sup>; and Circular 10/2013/TT-BVHTTDL of the Ministry of Culture, Sports, and Tourism ("MCST") dated 6 December 2013 ("Circular 10") <sup>56</sup> cover advertising in general. The contents of an advertisement of any goods, including food products, must be accurate and truthful, and must correctly reflect the form, quality, usage, label, design, classification, packing, origin, use date, preservation period, and warranty period of the goods. The advertisement must be in accordance with food labels and quality standards that the producer has announced to or registered with the relevant state agencies. <sup>57</sup>

Detailed procedures to obtain advertising permits for food products and food additives are provided in Inter-Ministerial Circular 06/2007/TTLT-BVHTT-BYT-BNN-BXD dated 28 February 2007 ("Inter-Ministerial Circular 06"). The application dossier for an advertising permit must be filed with the provincial Department of Information and Communications ("DOIC"), and mainly includes the following:

- Application for a permit to advertise a product. The application must be made by an advertiser (the individual or the entity whose products are advertised) or, if the advertiser engages an advertising services firm to advertise the product, made by the advertising services firm as the advertiser's agent;
- Copy of the Business Registration Certificate of the advertiser or the advertising services firm;
- Copy of the document showing that the goods conform with technical standards; and
- A color picture of the advertised product, signed by the advertiser.

An advertisement on billboards, placards, or panels requires written agreement from the Department of Construction ("**DOC**").<sup>58</sup>

In order to advertise a food product or food additive that is subject to examination for hygiene and safety, the advertiser must provide a copy of the Certificate of Hygiene and Safety Registration, along with a copy of the receipt from filing the application, in order to register the advertisement with the Food

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<sup>&</sup>lt;sup>55</sup> Decree 181 provides detailed regulations implementing some articles of the Law on Advertising.

<sup>&</sup>lt;sup>56</sup> Circular 10 provides detailed regulations implementing the Law on Advertisement and Decree 181 on legitimate documents, the organization and operation of the Appraisal Council of Advertised Products and the assignment of state management on advertisement.

<sup>&</sup>lt;sup>57</sup> Law on Advertisement, art 8.9.

<sup>&</sup>lt;sup>58</sup> Inter-Ministerial Circular 06, art II.1.7.

Hygiene and Safety Administration ("**FHSA**").<sup>59</sup> To advertise a food product or food additive, which is subject to announcement of hygiene and safety quality standards, the application to advertise must be accompanied by a copy of the company's standards and the filing receipt.<sup>60</sup>

The regulatory timeframe for the DOIC to issue an advertising permit varies from 5 to 15 working days, depending on the medium in which the advertisement will appear.<sup>61</sup>

Advertisements of tobacco, alcohol (concentration of alcohol being 15 percent or more); milk products replacing breast milk for under-24-month child, supplemental nutrition products for under-6-month old children, and feeding bottles and artificial teats; prescribed or non-prescribed medicine of which the usage must be restricted or be under supervision of a doctor; sexually stimulating products, sport guns and cartridges, sport rifles and violently stimulating products are prohibited. 62 In this regard, advertisements of some products, such as sanitary pads and condoms, which may constitute an inappropriate subject matter for public advertisement, will not be approved. Furthermore, advertisements which incorrectly state or mislead the business capacity or ability to provide products of another organization/individual conducting business, or about the quantity, quality, price, usage, design, package, trademark, origin, type, method of serving or warranty period of products, goods or services which has been registered or declared, are prohibited.63

An offshore producer with no establishment in Vietnam can advertise only through a Vietnamese advertising agency.<sup>64</sup>

Furthermore, under Articles 5 and 12 of Decree 181, the advertisement content of all foods and all food additives must be certified. Conditions and procedures for the certification of advertisement content must comply with Circular 09/2015/TT-BYT of the MOH dated 25 May 2015. Decree 15 requires that producers and traders must register with the appropriate authority, the advertisement contents for health protective foods, medical nutrition foods, foods for special diet and nutritional products for under-36-month children. There are some exceptions.

In addition, Circular 10 and in Article 8.11 of the Law on Advertisement, an advertising piece which contains a word/phrase such as "the only", "the best", "best", "number one" or the like must be verified by a legitimate document. It can be a market research report prepared by a qualified market research organization or a certificate or the like, granted by a regional or national competition/exhibition organization which recognizes that the product in the

<sup>&</sup>lt;sup>59</sup> *Id*, art II.1.5.a.

<sup>&</sup>lt;sup>60</sup> *Id*, art II. 1.5.d.

<sup>61</sup> *Id*, art II.3.1, art II.3.2.

<sup>62</sup> Law on Advertisement, art 7.

<sup>63</sup> *Id.* art 8.9.

<sup>&</sup>lt;sup>64</sup> *Id,* art 39.

<sup>65</sup> Decree 15, Chapter VIII

advertising piece is "the only", "the best", "best", "number one" or the like. The document is valid for only one year from its date of issue.

#### **RECALL**

Under Decree 119/2017/ND-CP of the Government dated 1 November 2017 ("**Decree 119**") on Administrative Sanctions against Violations in Standards, Measurements, and Quality of Products and Goods, the following goods are subject to recall:

- Imported or manufactured goods whose quality does not conform with a standard conformity certification, or circulating goods that are unsafe for consumers;<sup>66</sup>
- Goods that violate applicable technical regulations;<sup>67</sup>
- Goods in circulation that violate any quality standard;<sup>68</sup>
- Goods that violate labeling laws.<sup>69</sup>
- Goods whose codes and barcodes contain Vietnam's code (893), but the use
  of such code is not permitted; goods using recalled codes; and goods using
  codes purchased from another entity.<sup>70</sup>
- Goods with foreign codes which are produced, processed, packaged, extracted in Vietnam only for export if the use of such codes has not yet been allowed by competent foreign agencies or their foreign owners; goods with markings that may be confused with codes or barcodes of competent authorities of Vietnam and GS1 (International code and barcode organization).

Article 55 of the LoFS requires recall of the following foods:

- Expired foods that are in circulation;
- Foods that do not conform to applicable technical regulations;
- Foods created from new technology, the circulation of which is not allowed;
- Spoiled foods;
- Foods made of a prohibited substance or foods that contain polluting agents which exceed applicable limits;
- Imported foods that contain polluting agents which can cause personal injury and which have been identified publicly as harmful by the exporting country, by another country, or by any international organization.

<sup>&</sup>lt;sup>66</sup> Decree 119, art 18.5.

<sup>&</sup>lt;sup>67</sup> *Id*, art 19.6.

<sup>68</sup> *Id*, art 20.9.

<sup>&</sup>lt;sup>69</sup> *Id.* art. 30.3.

<sup>&</sup>lt;sup>70</sup> *Id,* art 32.6.

<sup>&</sup>lt;sup>71</sup> *Id*, art 32.6.

This article also provides forms of recall, the handling of recalled foods, and the responsibilities of certain individuals, organizations, and competent State bodies in connection with the recall.

Under article 22 of the Law on Consumer Protection,<sup>72</sup> in case of a defective product, the business organization or individual with responsibility must publicly announce the recall of the defective product in five successive issues of a central daily newspapers or have the news of the recall broadcast on a central radio station or a central television station on five successive days. The announcement must include the following:

- Description of the product to be recalled;
- Reason for the recall and warnings of injury which may be caused by the defective product;
- Time, location, and form of the recall;
- Time and method to repair the defect; and
- Measures which should be taken to ensure that consumer interests are protected.

The result of the recall must be reported to the appropriate provincial or central authorities.

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 $<sup>^{72}</sup>$  Law No. 59/2010/QH12 dated 17 November 2010 of the National Assembly on Consumer Protection.

#### **INSPECTIONS**

Under Decree 15, inspection of food is conducted by the MOH, the MARD, and the MOIT. The Government assigns certain governmental authorities the obligation to conduct safety inspection. The State has issued Decree 122<sup>73</sup>; it provides that the MOH is responsible for inspection of food products.

In general, food safety inspection is conducted by an inspection team or an individual inspector. The team or individual is tasked with: (1) investigating compliance with legislation on technical standards, food safety, advertisements and labeling, and certification of conformity, (2) imposing sanctions, and (3) applying or proposing, in accordance with its authority, measures to prevent or end a violation. Furthermore, the MOH may conduct an extraordinary inspection on the entire process of food production, import and export, and trading of food in certain cases as provided in Article 16 of Decree 122. <sup>74</sup>

The contents and conclusions of the inspection and all recommendations are made in an inspection report. The inspection report is central to resolving problems. The LoQPG states that organizations and individuals may lodge complaints with state agencies against an administrative decision or an act of an agency or officer which act they consider to be illegal and/or which infringes on their rights and interests.<sup>75</sup>

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<sup>&</sup>lt;sup>73</sup> Decree 122/2014/ND-CP of the MOH dated 25 December 2014 on health inspection ("**Decree 122**"), arts 2 and 16.2.

<sup>&</sup>lt;sup>74</sup> *Id* art 16

<sup>&</sup>lt;sup>75</sup> LoOPG, art 64.1.

### **IMPORT REQUIREMENTS**

All imported goods are subject to the regulations described above. Food imported into Vietnam must meet the following additional safety assurance conditions:<sup>76</sup>

- a technical regulation conformity announcement must be registered at a competent state agency before the food is imported;
- the food importer must obtain a notice of satisfaction of import requirements issued by a designated inspection agency for each goods lot as prescribed by a relevant ministry;

In addition to the conditions prescribed above, imported functional food, micronutrient-fortified food, genetically modified food, and irradiated food must be accompanied by a certificate of free sale or a health certificate.

Imported foods are exempt from State inspection for safety purposes in the following cases: <sup>77</sup>

- Products that have received a Receipt of Registration of Announcement of Product:
- Personal foods brought by individuals upon entry, sent after or before the trip of the individual, for private consumption or for purpose of the trip; gifts within import duty exemption threshold;
- Foods for private consumption by a person who has diplomatic privileges;
- Foods in transit and trans-shipment; foods for temporary import, re-export; foods stored in a bonded warehouse;
- Foods to be used as a subject for experiments and research in a reasonable amount for the purpose of the experiment and research, with appropriate confirmation from the organization and individual;
- Foods to be used as samples displayed in fairs or exhibitions;
- Products, ingredients, which are produced or imported especially for the production, processing of export goods or for the internal production of the organization or individuals without entering the domestic market;
- Temporary imported food to be sold in duty-free store;
- Imported goods for emergency request as directed by the Government, Prime Minister.

<sup>&</sup>lt;sup>76</sup> LoFS, art 38.

<sup>&</sup>lt;sup>77</sup> Decree 15, art 13

A reduced level of inspection ("**Simplified Inspection**") is applied to the following products:

- Foods imported from countries which have concluded treaties on mutual recognition of food safety certification with Vietnam are eligible to receive a reduced level of inspection;
- Foods that satisfy the importation requirements in accordance with the regular level of inspection on three consecutive occasions within 12 months; or
- Foods that are manufactured in facilities, which apply one of the quality control systems: GMP, HACCP, ISO 22000, IFS, BRC, FSSC 22000 or equivalent.

An increased level of inspection ("**Tightened Inspection**") is applied in the following circumstances:

- Foods that fail a previous inspection;
- Foods that fail any State inspection; or
- There is a warning from the MOH, MARD, MOIT, provincial People's Committee or an offshore competent authority or from the manufacturer.

#### **Inspection**

Decree 15 revises existing inspection methods for imported food. An imported shipment, as defined in Article 3 of Decree 15, may consist of one or several different food products. If the shipment contains different food products, the inspections will be carried out for each food product separately and not for the shipment as a whole.<sup>78</sup>

#### **Simplified Inspection**

During the customs process, the owner of a shipment must submit a dossier, which includes the following documents:

- (i) Self-announcement of the product;
- (ii) Three consecutive notices that the product being imported has satisfied importation requirements under regular inspection, or a legalized copy of a valid GMP, HACCP, ISO 22000, IFS, BRC, FSSC 22000 Certificate or equivalent; and
- (iii) Certificate of satisfaction of food safety regulations, issued by a competent authority of the exporting country.

 $<sup>^{78}</sup>$  Official Letter No. 114/ATTP-PCTTR of the FHSA dated January 10, 2019 answering an inquiry in relation to Decree 15.

Customs may randomly inspect 5% of a shipment.

#### **Regular Inspection**

After a shipment arrives at customs, the owner of the shipment must submit a dossier either to the state inspector or online. The dossier must include the following documents:

- (i) Self-announcement of the product;
- (ii) Inspection application using the form provided in Decree 15;
- (iii) Packing list;
- (iv) Three consecutive notices that the product being imported has satisfied importation requirements under tightened inspection, for those products that are switching from tightened inspection to regular inspection.

Within three business days, the state inspector will examine the dossier and issue a notice that the foods satisfy or do not satisfy the importation requirements. The owner of the qualifying shipments will submit a notice that the foods satisfy importation requirements to customs.

# **Tightened Inspection**

Tightened inspection requires the same dossier as regular inspection but the inspection period is seven days instead of three days. During the inspection period, the state inspector will sample and inspect the samples in accordance with the food safety criteria.

Foods that fail to meet importation requirements must be handled using the methods provided in Decree 15, namely re-export, disposal or repurposing with the buyer. The result of handling such shipments must be submitted to the state inspector.

The competent authority in Vietnam may plan and co-operate with the competent authority of the exporting country in order to inspect the food safety control system in the exporting country and local facilities which manufacture and export foods to Vietnam.

#### **EXPORT REQUIREMENTS**

In general, there are no specific export requirements for food products. In principle, it is the overseas buyer of a food product that sets the quality, safety, or hygienic standards with which the food products must comply.

In practice, an overseas buyer usually asks whether the food exporter is in compliance with International Standardization Organization ("ISO") provisions. Further, the food products must follow HACCP which embodies international standards on hygiene and safety. The principle of HACCP is to define and isolate critical points in the process that may pose a food safety hazard. Processors are responsible for producing safe, marketable food, and food exporters are responsible to provide safe food in accordance with HACCP.

In addition, an overseas buyer may require a Certificate of Free Sale ("CFS") issued by the MOH for food products intended for export. If so, the food exporter must apply for the CFS from the MOH. The conditions are provided in Circular 52.<sup>79</sup> An exporter must submit an application dossier to the MOH. The application dossier includes an application using the form provided in Annex 4 of Circular 52 and the Receipt of Product Announcement.<sup>80</sup> The CFS is issued to the exporter after five business days following receipt of the completed dossier. If the CFS is denied, a written response with the reason for denial must be issued. A CFS is effective for two years from the date of issuance. It cannot exceed the expiration date of the receipt of the announcement of products. Export requirements that relate to foods are generally the same as those discussed in the section entitled "IMPORT REQUIREMENTS."

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<sup>&</sup>lt;sup>79</sup> The MOH's Circular 52/2015/TT-BYT dated December 21, 2015

<sup>80</sup> See "Food Safety" section, pg. 6

#### **AQUATIC PRODUCTS**

Government Decree 59/2005/ND-CP dated 4 May 2005 on Conditions of Manufacture and the Business of a Number of Aquatic Professions ("Decree 59")81 gives the MARD,82 along with other ministries, bodies, and provincial people's committees, the responsibility to organize the inspection and examination of aquatic business activities and to resolve violations. 83 The term. "Aquatic products" includes aquatic animals and plants, aquatic animal and plant products, feed for aquatic and marine life, aquatic plant protection products (e.g. chemicals), veterinary medicines for aquatic life, fishing nets, and other gear.

Entities involved in trading or producing aquatic products are subject to regulations on inspection and certification to assure compliance with standards of food safety and hygiene.

To be more specific, the following subjects must be inspected:

- Initial manufacturing premises of aquatic products according to its enterprise registration certificate or investment certificate;<sup>84</sup>
- Fishing vessels with a main engine of at least 90 CV;85
- Purchasing, preliminary processing, processing, and/or trading premises of aquatic products;86
- Manufacturing and trading premises of aquatic products for export<sup>87</sup> (hereinafter referred to as a "Fishery Aquatic Establishment");
- A lot in which aquatic foods are subject to inspection and certification of quality and food safety.<sup>88</sup>

<sup>81</sup> Decree 59 has been amended by Government Decree 14/2009/ND-CP dated 13 February 2009, Decree 53/2012/ND-CP dated 20 June 2012 and Decree 66/2016/ND-CP dated 1 July 2016.

<sup>82</sup> The MARD is responsible for coordinating state management of aquatic products. See Inter-Ministerial Circular 13/2014/TTLT-BYT-BNNPTNT-BCT dated 9 April 2014 guiding the Assignment and Co-ordination of State Management of Food Safety ("Inter-Ministerial **Circular 13"**), arts 3.4 and 4.2.

<sup>&</sup>lt;sup>83</sup> Decree 59, art 17.

<sup>84</sup> The MARD's Circular 45/2014/TT-BNNPTNT dated 3 December 2014 on Inspection of Manufacturing and Trading Establishments of Agricultural Commodities, and Inspection and Certification of Satisfaction on Food Safety Conditions of Manufacturing and Trading Establishments of Agriculture, Forestry and Aquatic Products ("Circular 45"), art 2.3 (a).

<sup>85</sup> *Id*, art 2.3 (a).

<sup>86</sup> *Id*, art 2.3 (b).

<sup>&</sup>lt;sup>87</sup> The MARD's Circular 48/2013/TT-BNNPTNT dated 12 November 2013 on Inspection and Certification of Food Safety of Exported Aquatic Products ("Circular 48"), art. 2.1 (a).

<sup>88</sup> Id, art 2.1 (b), and the MARD's Circular 25/2010/TT-BNNPTNT dated April 8, 2010 on Inspection of Hygiene and Safety of Imported Animal Foods ("Circular 25"), art 1.

#### **Inspection and Certification of Fishery Aquatic Establishments**

Local inspection and certification bodies, along with the National Agro-Forestry-Aquatic Quality Assurance Department are responsible for inspecting and certifying establishments. There are three types of inspection for Fishery Aquatic Establishments: inspection for classification, periodic inspection, and unscheduled inspection. With respect to manufacturing and trading premises of aquatic products for export, there are examination process for certification and two types of inspection, including post-certification inspection and unscheduled inspection. Every separate fishery aquatic establishment that is approved for food hygiene and safety standards under inspection for classification is entitled to a certificate of food safety with a 3-year-term. A manufacturing and trading premise of aquatic products for export that is approved for hygiene and safety standards under the examination process is entitled to an approval code attached to a certificate of food safety with a 3-year-term.

A Fishery Aquatic Establishment under Article 2.3 of Circular 45 which satisfies all food hygiene and safety standards, but which has several minor failures not seriously affecting food safety and quality will be given a "pass". <sup>94</sup> An establishment that does not satisfy food hygiene and safety standards will be given a "fail" grade. <sup>95</sup> A failed Fishery Aquatic Establishment will be reinspected. A certificate on satisfaction of food hygiene and safety standards and a business registration certificate may be withdrawn, and the establishment may receive an administrative penalty if it fails to satisfy conditions upon reinspection.

Grades of A, B, or C are given to establishments under Article 8 of Circular 45. Grade A means that the establishment satisfies all food hygiene and safety standards. Grade B establishments satisfy food hygiene and safety standards, but have some minor failures. Grade C establishments do not satisfy food hygiene and safety standards. Only grade A or grade B establishments meet food hygiene and safety standards. <sup>96</sup>

#### **State Inspection and Certification of Aquatic Products Quality**

Aquatic products, whether produced for domestic consumption, export, or import, are subject to regulations which apply to inspection and certification of food hygiene and safety.<sup>97</sup> This inspection is made per lot of aquatic products

<sup>91</sup> Circular 48, art 12, as amended by the MARD's Circular 02/2017/TT-BNNPTNT dated February 13, 2017 ("Circular 02"), art 1.4 (b).

<sup>89</sup> Circular 45, art 5, and Circular 48, art. 5.

<sup>90</sup> Circular 45, art 6.

<sup>&</sup>lt;sup>92</sup> Circular 45, arts 12 and 18.4 (c).

<sup>&</sup>lt;sup>93</sup> Circular 48, art 17.1 (a).

<sup>&</sup>lt;sup>94</sup> Circular 45, art 8.2.

<sup>&</sup>lt;sup>95</sup> Circular 45, art 8.3.

<sup>&</sup>lt;sup>96</sup> Circular 45, arts 8.1 and 8.2.

<sup>&</sup>lt;sup>97</sup> The MARD's Circular No. 08/2016/TT-BNNPTNT dated June 1, 2016, on the Control of Hygiene and Safety of Agricultural Products, Forest Products and Aquatic Products ("Circular 08"), art.1, Circular 48, art. 2.1 (b) and Circular 25, art. 1.

and a certificate of aquatic products quality will be granted per lot. A single Health Certificate is granted to each lot of aquatic products for export.<sup>98</sup> There are no regulations on the quantity and size of containers for aquatic products.

Grounds for inspection and certification of aquatic products are as follows:

- The inspection and certification of aquatic products produced for domestic consumption are based on technical regulations on food hygiene and safety issued by the MARD and other ministries and offices; and are also based on the quality announcement of food business operators ("FBOs").
- The inspection and certification of aquatic products for export to certain markets that MARD monitors and so require periodic inspection for management purposes; the inspection and certification of aquatic products imported for processing, and of aquatic products recalled or returned from foreign countries are based on technical regulations on food hygiene and safety issued by MARD and other ministries and offices.
- The inspection and certification of aquatic products for export to markets which require food hygiene and safety inspection and certification conducted by the competent authorities are based on food hygiene and safety regulations issued or approved by importing countries.

The following authorities are responsible for the hygiene, safety inspection, and certification of aquatic products:

- Division on Agro-Forestry-Fisheries Quality Assurance or authorities which are assigned by the Department of Agriculture and Rural Development to control the quality, hygiene, and safety of aquatic products before they are put into circulation for domestic consumption. In addition, the Agro-Forestry-Fisheries Quality Assurance Department may conduct unannounced inspections as assigned by the MARD.
- Authorities under the control of the National Agro-Forestry-Fisheries Quality Assurance Department inspect and certify the quality, hygiene, and safety of aquatic products for export and aquatic products recalled or returned from foreign countries. In the case of aquatic products imported for processing, these tasks are carried out by authorized persons of the Department of Animal Health.<sup>100</sup>

With respect to aquatic products for export, the FBOs must register for inspection by sending a dossier for food hygiene and safety inspection registration to inspecting bodies in person, via postal mail, email, fax (filing of an original dossier afterward), or online. <sup>101</sup> After on-site inspection, the

<sup>&</sup>lt;sup>98</sup> Circular 48, art 25.

<sup>&</sup>lt;sup>99</sup> *Id*, art 5.

 $<sup>^{100}</sup>$  Circular 48, art 5.2 and Circular 25, art 8.3(b), as amended by Circular  $^{11/2017/TT-}$  BNNPTNT dated May 29, 2017, art. 3.2.

<sup>&</sup>lt;sup>101</sup> Circular 48, art. 28.1 (b).

inspectors grant a health certificate for each lot of the FBOs that fulfills compulsory requirements.

# **Announcement of Quality Standards of Specialty Aquatic Products**

There are separate regulations for specialty aquatic products, called Temporary Regulations on Announcing Quality Standards of Specialty Aquatic Products. These temporary regulations were issued in connection with Decision 425/2001/QD-BTS of the Minister of Aquatic Products dated 25 May 2001 ("Decision 425"). This Decision was abrogated by Decision 1149/QD-BNN-PC by MARD dated 23 April 2009. According to Decision 425, the Regulations stipulated that aquatic animals and plants for breeding purposes; industrial processed foods for aquatic farming; medicines, chemicals, and biological products used in aquatic farming; and nets and other instruments used in aquatic exploration are subject to announcement procedures of quality standards. Currently, detailed implementing regulations on announcing quality standards for specialty aquatic products are provided in various MARD legislation. For example, MARD Circular 26/2013/TT-BNNPTNT dated 22 May 2013 on Aquatic Breed Management requires producers to announce quality standards of locally-produced aquatic breeds. Importers or distributors must register for inspection of quality of imported aquatic breeds.

#### Safety and Quality Requirements for Aquatic Products for Export

The value of aquatic products exported by Vietnam has risen steadily. Vietnam is a prominent supplier. The Government believes it is important that proper standards be maintained to ensure confidence in aquatic products that come from Vietnam.

In fact, establishments that export aquatic products must strictly comply with Vietnamese regulations on food hygiene safety and specific standards applicable to aquatic products. They must also comply with the requirements of the importing countries. <sup>102</sup>

Like any food products, aquatic products should conform to HACCP. In addition, exported aquatic products must be sanitary. This requires an exporter to follow GMP, which is the basic regime for food manufacturers. The principles are straightforward and aim to prevent contamination of food through unsanitary practices. There is a close relationship between HACCP and GMP, inasmuch as GMP is considered to be a prerequisite to HACCP. Without GMP, the risks are greater.

Vietnamese exporters must also establish their own quality control system to conform to international criteria and/or the requirements of the importing countries. Aquatic products for export are subject to Circular 48. <sup>103</sup> The Government has taken that step to encourage aquatic products exporters voluntarily to adopt HACCP, GMP, and other international criteria.

<sup>&</sup>lt;sup>102</sup> Circular 48, arts 2.1 (b) and 2.2.

<sup>&</sup>lt;sup>103</sup> *Id*, art 2.

#### **ENFORCEMENT**

All organizations and individuals have the right to file a complaint regarding any violation that relates to the quality of food products. <sup>104</sup> They may make a complaint regarding food safety, such as wrong-doing by a government official responsible for implementing or enforcing the laws and regulations on the quality of food products. <sup>105</sup> The authorities must respond to such complaints. <sup>106</sup>

All individuals and organizations, including foreign individuals and organizations, that commit an administrative violation that relates to the quality of goods will be sanctioned. 107 The Civil Code states that: "individuals, legal persons, etc. that are producers or that do business without ensuring the quality standards of goods and that injure any consumer must compensate" the consumer. 108 Inspectors who specialize in technology and science (such as inspectors (persons who are assigned the inspection task and are on duty), Chief Inspectors and Head of a delegation of inspection of the Department of Science and Technology, Head of a delegation of inspection of the General Department of Standards, Metrology and Quality, Chief Inspectors and Head of a delegation of inspection of the MOST, Manager of the General Department of Standards, Metrology and Quality), presidents of People's Committees, the police, the Customs Department, market management agencies, the border guards, coast guards and certain specific inspection agencies have the right to deal with administrative violations. 109 Once a violation has been discovered, the law gives the government much flexibility in the form and scale of punishment. 110

Depending on the nature and severity of violations which involve standards, measurements, and quality, an organization or individual may be subject to one or more specific types of sanctions. One such sanction is cancellation of the right to use certificates of conforming technical specifications; certificates on satisfaction of business conditions; inspection certificates; and so on.<sup>111</sup>

Administrative violators may be subject to the following remedies: recovery of sums of money illegally gained from the commission of administrative violations, recall of products or goods or recall of measuring devices that violate standards, and so on. Also, products or goods must be destroyed if they are not safe for humans, animals, plants, and the environment.

Enforcement agencies are given guidance on which sanctions to impose and on how large a fine should be.<sup>114</sup> Generally, the maximum fine for violation of measurements is VND100 million for an individual and VND200 million for an

<sup>104</sup> LoQPG, art 64.

<sup>&</sup>lt;sup>105</sup> LoFS, arts 7.1 (dd), 8.1 (d) and 9.1 (d).

<sup>&</sup>lt;sup>106</sup> LoQPG, art 46.4.

<sup>&</sup>lt;sup>107</sup> Decree 119, art 2.

<sup>108</sup> Civil Code, art 608.

<sup>&</sup>lt;sup>109</sup> Decree 119, arts 34 to 41.

<sup>&</sup>lt;sup>110</sup> *Id*, arts 2 & 3.

<sup>&</sup>lt;sup>111</sup> *Id*, art 2.2.

<sup>112</sup> *Id*, art 2.3.

<sup>&</sup>lt;sup>113</sup> *Id*, art 20.

<sup>&</sup>lt;sup>114</sup> *Id*, Chapter II.

organization. The maximum fine for violation of standards or quality of products and goods is VND150 million for an individual, and VND300 million for an organization<sup>115</sup>. There are some exceptions.

The regulations do list extenuating and aggravating circumstances, as well as situations where sanctions are not to be applied. Such regulations are general and refer to violations of many laws, not just to violations related to food.

Extenuating circumstances include: 116

- The offender attempts to mitigate the damage caused by the breach or to deal voluntarily with the consequences and to compensate the injured;
- The offender voluntarily declares his violation or repents of the violation;
- The violation is committed in a state of nervous excitement caused by the illegal acts of other persons;
- The violation is committed beyond the legitimate defense limit or beyond requirements of an urgent circumstance;
- The violation is caused by coercion or force;
- The violating party is a pregnant woman, an old or sick person, a diseased person or a disabled person which limits his awareness or control over his actions;
- The violation is committed in especially difficult circumstances not brought about by the offender; or
- The breach was committed as a result of backward thinking.

Aggravating circumstances include: 117

- Organized violations;
- Repeated and/or extensive violations;
- Enticement by the offender of underage persons to commit a violation, or forcing dependent persons to commit a violation;
- Utilizing someone who is mentally ill or who has impaired cognitive abilities, or impaired ability to control his or her behavior;
- Abusive conduct and defamatory actions toward a person who is on duty;

<sup>&</sup>lt;sup>115</sup> Decree 119, art 3.

<sup>&</sup>lt;sup>116</sup> Law No. 15/2012/QH13 dated 20 June 2012 on Dealing with Administrative Violations, art 9.

<sup>&</sup>lt;sup>117</sup> *Id*, art 10.

- Undertakes administrative violations with hooligans;
- The offender abuses a position of power in committing the violation;
- The offender takes advantage of wartime conditions, natural disasters, or other social difficulties in order to commit the violation;
- An offender persists in the violation despite the request of an authorized person to cease;
- The violation is committed while the offender is serving a criminal sentence or is subject to an administrative penalty;
- The offender attempts to evade responsibility for or conceals the violation;
- Violations are large in scale or in value; and
- Violations affect a large number of people, children, the elderly, the disabled, or pregnant women.

Situations where no sanction should be applied include: 118

- Where the offender committed a violation due to urgent circumstances, legitimate defense purposes, unexpected events, unforeseen contingencies;
- The offender suffers from a mental or other disease that is confirmed by a medical agency and which causes him or her to lose awareness or behavioral control; or he is under 14 years old so that he has not attained the age for being administratively sanctioned.<sup>119</sup>

Within seven days (or 30 days for complicated cases) the relevant government agency will issue a decision on how to handle the violation. 120

An injured party may demand compensation by filing suit with the Civil Division of the People's Court under the Civil Procedure Code.

Moreover, individuals who violate regulations on food safety and hygiene may have penal liability. Penalties imposed on offenders under the new Penal Code will be stricter than those in the 1999 Penal Code. The crimes related to food safety and hygiene, under the new Penal Code, are diverse and include the use of banned substances to manufacture or preserve food products; sale of food products with the knowledge that they contain banned substances; manufacturing and trading chemicals, anti-bodies, veterinary medicines, plant protection preparations that are banned from use in producing salt and in the

<sup>120</sup> *Id*, art 66.1.

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Law No. 15/2012/QH13 dated 20 June 2012 on Dealing with Administrative Violations, art 11.

<sup>&</sup>lt;sup>119</sup> *Id*, art 11. A person who is between 14 and under 16 years old may be administratively sanctioned for an intentional administrative violation. A person who is 16 years old or older may be administratively sanctioned for administrative violations.

preliminary processing of agricultural, forestal, aquatic products; manufacturing and trading fake goods that are food products or food additives, etc. The penalty for each crime may be five years' imprisonment, 10 years' imprisonment or a life sentence. Fines may range from VND20 million to VND100 million, depending largely on the level of seriousness of the offence.

There are crimes involving manufacturing and banned trading of chemicals, anti-bodies, veterinary medicines, plant protection preparations and involving manufacturing and trading fake goods that are food products or food additives. In such cases, traders that are entities (not only individuals) may have penal liability. Those companies can have criminal liability for breaches of regulations on food safety and hygiene is one of the remarkable changes in the new Penal Code.

#### CONCLUSION

Regulations on foods have been improved and simplified. A good example is the replacement of the complex rules regarding registration of food quality, hygiene, and safety. A regime of announced food quality, hygiene, and safety now applies. This change provides a shortened and simplified system which should not impair standards.

The rules on food continue to develop in a way that recognizes the greater sophistication of the Vietnamese food industry, consumer needs, and import, export, and processing standards. The reality is that the laws must continue to evolve. Rules that were intended to regulate the quality of goods in general are not adequate to regulate the quality of food products. There is a clear recognition of this, but, as is often the case in Vietnam, the process of change and implementation is measured, deliberate, and time-consuming.

SCHEDULE

List of cited Laws, Ordinances, Decrees, Circulars and Regulations classified by subject and by chronological order

Subject	Pages <sup>121</sup>	Name of legal documents	Issued by	Issued on
Food Safety	3	Law on Standards and Technical Specifications 68/2006/QH11	National Assembly	29 June 2006
	1, 3	Law on the Quality of Products and Goods 05/2007/QH12	National Assembly	21 November 2007
	1, 2	Law on Food Safety 55/2010/QH12	National Assembly	17 June 2010
	4	Decree 15/2018/ND-CP providing detailed regulations implementing some articles of the Law on Food Safety	Government	2 February 2018
	5	Circular 40/2016/TT-BYT on introduction of nomenclature of foods, food additives, food processing aids and food packaging materials and	МОН	4 November 2016

<sup>&</sup>lt;sup>121</sup> Reference is to pages in this book

		containers according to HS codes specified in the import-export tariff schedule under administration by the MOH		
Labeling	7, 8, 9, 10	<ul> <li>Decree 43/2017/ND-CP on the Labeling of Goods</li> </ul>	Government	14 April 2017
	8	Inter-Ministerial Circular 34/2014/TTLT-BYT-BNNPTNT-BCT on guidance for labeling foodstuffs, food additives, and additive substances for processing packaged foods	MOH, MARD, and MOIT	27 October 2014
Advertising	11			
	11, 12	Law on Advertisement No. 16/2012/QH13	National Assembly	21 June 2012
	11	Decree 181/2013/ND-CP providing detailed regulations implementing some articles of the Law on Advertising (as amended by Decree 54/2017/ND-CP dated 8 May 2017)	Government	14 November 2013
	11, 12	Inter-Ministerial Circular 06/2007/TTLT-BVHTT-BYT-BNN-BXD on guidance for One-door Procedures to Grant Advertising Permits	MOCI, MOH, MARD, MOC	28 February 2007
	11	Circular 10/2013/TT-BVHTTDL provides detailed regulations implementing the Law on Advertisement and Decree 181 on legitimate	MCST	6 December 2013

		documents, the organization and operation of Appraisal Council of Advertised Products and the assignment of state management on advertisement		
	12	<ul> <li>Circular 09/2015/TT-BYT on certification of advertising contents for special products, goods, services managed by the MOH</li> </ul>	МОН	25 May 2015
Recall	14	Law on Food Safety 55/2010/QH12	National Assembly	17 June 2010
	15	Law on Consumer Protection 59/2010/QH12	National Assembly	17 November 2010
	14	<ul> <li>Decree 119/2017/ND-CP on Administrative Sanctions against Violations of Standards, Measurements and Quality of Products and Goods</li> </ul>	Government	1 November 2017
Inspections	16	<ul> <li>Law on the Quality of Products and Goods 05/2007/QH12</li> </ul>	National Assembly	21 November 2007
	16	Decree 15/2018/ND-CP providing detailed regulations implementing some articles of the Law on Food Safety	Government	2 February 2018

	16	Decree 122/2014/ND-CP on Organization and Operation of Health Inspectorate	Government	25 December 2014
Import Requirements	17	Decree 15/2018/ND-CP providing detailed regulations implementing some articles of the Law on Food Safety	Government	2 February 2018
	17	Law on Food Safety 55/2010/QH12	National Assembly	17 June 2010
	[]	Circular 05/2007/TT-BYT guiding Conditions and Procedures to nominate Organizations to Implement State Inspection on Quality, Hygiene and Safety of Imported Foods	МОН	7 March 2007
	18	Circular 52/2015/TT-BYT regulating State safety inspection on imported foods, and application, procedure to grant a certificate of export for exported foods under administration of the Ministry of Health	МОН	21 December 2015
	[_]	Circular 19/2012/TT-BYT promulgating on Announcement of Standard Conformity and Announcement of Food Safety Conformity	МОН	9 November 2012
Export Requirements	19	• Circular 52/2015/TT-BYT regulating State safety inspection on imported foods, and application, procedure to grant a certificate of export for	МОН	21 December 2015

		exported foods under administration of the Ministry of Health		
Aquatic Products	20	<ul> <li>Decree 59/2005/ND-CP on Conditions of Manufacture and Business of a number of Aquatic Professions, amended by Decree 14/2009/ND-CP dated 13 February 2009 and Decree 53/2012/ND-CP dated 20 June 2012 (as amended by Decree 66/2016/NP-CP, effective 1 July 2016)</li> </ul>	Government	4 May 2005
	20, 21	<ul> <li>Inter-Ministerial Circular 13/2014/TTLT-BYT-BNNPTNT-BCT guiding the Assignment and Co-ordination of State Management of Food Safety</li> </ul>	MOH, MARD and MOIT	9 April 2014
	20, 21, 22	<ul> <li>Circular 25/2010/TT-BNNPTNT on Inspection of Hygiene and Safety of Imported Animal Foods (as amended by Circular 51/2010/TT-BNNPTNT dated 8 September 2010 and Circular 11/2017/TT-BNNPTNT dated 29 May 2017)</li> </ul>	MARD	8 April 2010
	20, 21, 22, 23	<ul> <li>Circular 48/2013/TT-BNNPTNT on Inspection and Certification of Food Safety of Exported Aquatic Products (as amended by Circular 02/2017/TT-BNNPTNT dated 13 February 2017)</li> </ul>	MARD	12 November 2013

	20, 21	Circular 45/2014/TT-BNNPTNT on Inspection of Manufacturing and Trading Establishments of Agricultural Commodities, and Inspection and Certification of Satisfaction on Food Safety Conditions of Manufacturing and Trading Establishments of Agriculture, Forestry and Aquatic Products	MARD	3 December 2014
	23	Decision 1149/QĐ-BNN-PC abrogating certain legal documents	MARD	23 April 2009
	21	Circular 08/2016/TT-BNNPTNT on the Control of Hygiene and Safety of Agricultural Products, Forest Products, Aquatic Products	MARD	1 June 2016
Enforcement	24	• Civil Code 91/2015/QH13	National Assembly	24 November 2015
	24	<ul> <li>Law on the Quality of Products and Goods 05/2007/QH12</li> </ul>	National Assembly	21 November 2007
	24	Law on Food Safety 55/2010/QH12	National Assembly	17 June 2010
	[_]	<ul> <li>Law on Appeal 02/2011/QH13</li> <li>Law on Denunciation 03/2011/QH13</li> </ul>	National Assembly	11 November 2011
	25, 26	Law on Dealing with Administrative Violations 15/2012/QH13	National Assembly	20 June 2012

24, 25 Decree 80/2013/ND-CP on Administrative Sanctions against Violations on Standards, Measurements and Quality of Products and Goods	Government	19 July 2013
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Legal documents marked "[\_\_]" are not cited in each relevant section; they appear only in the SCHEDULE.